



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

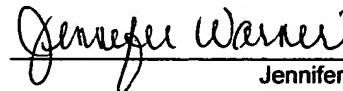
In Re:

Applicants: Tanner et al. Atty. Docket No.: RPS6097-US  
Serial No.: 10/016,352 Examiner: Leyson, Joseph  
Filing Date: November 2, 2001 Art Unit: 1722  
Entitled: APPARATUS AND METHOD FOR MANUFACTURING ENCAPSULATED PRODUCTS

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

Date of Deposit: 3-10-04

I hereby certify that this Assignment cover sheet, recordation cover sheet and Assignment are being deposited with the U.S. Postal Service, as first class mail, postage prepaid, to: Commissioner for Patents, MAIL STOP – IDS (FEE), P.O. Box 1450, Alexandria, Virginia 22313-1450.

  
\_\_\_\_\_  
Jennifer Warner

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

As authorized and encouraged under 37 C.F.R. §§1.97-1.98 and the provisions of MPEP §§609 and 707.05(b), Applicants herewith certain patent references, publications and/or other information which the Patent and Trademark Office may wish to consider in examining the above-identified patent application. With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner to supplement the IDS filed August 21, 2002.

These additional references are reported from a search conducted by the PCT dated February 13, 2004.

This Supplemental IDS is being filed before the mailing date of a final action under §1.113, a notice of allowance under §1.311 or an action that otherwise closes prosecution in the application and is accompanied by an Authorization to Charge Deposit Account 50-0256 in the sum of \$180.00.

03/15/2004 HVUONG1 00000154 500256 10016352  
01 FC:1806 180.00 DA

Applicants are submitting this art because they recognize their duty to disclose pursuant to 37 C.F.R. §1.56. However, submission of this art and Statement is not an admission that any item identified contains matter that anticipates the invention or that renders the invention obvious to a person of ordinary skill in the art. Further, Applicants believe that none of the art submitted herewith, alone or in proper combination, discloses, teaches or suggests the invention claimed by Applicants.

No representation is made that a reference constitutes prior art within the meaning of 35 U.S.C. §102 and §103 and Applicants reserve the right, pursuant to 37 C.F.R. §1.131 or otherwise to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

**U.S. PATENT DOCUMENTS**

<b>U.S. PATENT NUMBER</b>	<b>INVENTOR(S)</b>
3,010,147	Davies et al.
3,680,997	Dukert et al.
4,289,718	Vollbrecht et al.
4,631,016	Hay, II
4,919,308	Majkrzak
5,520,958	Doesburg et al.
5,660,922	Herridge et al.
5,833,904	Muskalla et al.

**FOREIGN PATENT DOCUMENTS**

None

**OTHER DOCUMENTS**

None

Respectfully submitted,

Date: March 9, 2004

By:

Donald O. Nickey

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**FORM PTO-1449 TO BE FILED WITH THE  
INFORMATION DISCLOSURE STATEMENT**

U.S. Department of Commerce  
Patent and Trademark Office

Docket No.: RPS6097-US Serial No.: 10/016,352

Tanner et al.

Applicant

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

November 2, 2001

Filing Date

1722

Group Art Unit

Joseph Leyson  
Examiner's Name

**U.S. PATENT DOCUMENTS**

<u>Examiner's Initials</u>	<u>Document No.</u>	<u>Date</u>	<u>Inventor(s)</u>	<u>Class/Sub-class</u>
	3,010,147	Nov. 28, 1961	Davies et al.	18-8
	3,680,997	Aug. 1, 1972	Dukert et al.	425/381
	4,289,718	Sep. 15, 1981	Vollbrecht et al.	264/50
	4,631,016	Dec. 23, 1986	Hay, et al.	425/224
	4,919,308	Apr. 24, 1990	Majkrzak	222/146
	5,520,958	May 18, 1996	Doesburg et al.	427/316
	5,660,922	Aug. 26, 1997	Herridge et al.	428/214
	5,833,904	Nov. 10, 1998	Muskalla et al.	264/178

**FOREIGN PATENT DOCUMENTS**

None

**OTHER DOCUMENTS**

None

Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

The identification of any document herein is not intended to be, and should not be understood as being an admission that each such document, in fact, constitutes "prior art" within the meaning of applicable law since, for example, a given document may have a later effective date than at first seems apparent or the document may have an effective date which can be antedated. The "prior art" status of any document is a matter to be resolved during prosecution.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** **(\$)** 180.00

### Complete if Known

Application Number	10/016,352	11/07/2001
Filing Date	10/26/2002	11/07/2001
First Named Inventor	Keith Tanner	
Examiner Name	Joseph Leyson	
Art Unit	1722	
Attorney Docket No.	RPS6097-US	

### METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account:

Deposit Account Number **50-0256**  
Deposit Account Name **Cardinal Health, Inc.**

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
<b>SUBTOTAL (1) (\$)</b>					

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims		-20** =	<input type="text"/> X <input type="text"/> = <input type="text"/>	
Independent Claims		- 3** =	<input type="text"/> X <input type="text"/> = <input type="text"/>	
Multiple Dependent			<input type="text"/> = <input type="text"/>	

Large Entity	Small Entity	Fee Description
1202	18	2202 9 Claims in excess of 20
1201	86	2201 43 Independent claims in excess of 3
1203	290	2203 145 Multiple dependent claim, if not paid
1204	86	2204 43 ** Reissue independent claims over original patent
1205	18	2205 9 ** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2) (\$)</b>		

\*\*or number previously paid, if greater; For Reissues, see above

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051	130	2051 65 Surcharge - late filing fee or oath	
1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet	
1053	130	1053 130 Non-English specification	
1812	2,520	1812 2,520 For filing a request for ex parte reexamination	
1804	920*	1804 920* Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805 1,840* Requesting publication of SIR after Examiner action	
1251	110	2251 55 Extension for reply within first month	
1252	420	2252 210 Extension for reply within second month	
1253	950	2253 475 Extension for reply within third month	
1254	1,480	2254 740 Extension for reply within fourth month	
1255	2,010	2255 1,005 Extension for reply within fifth month	
1401	330	2401 165 Notice of Appeal	
1402	330	2402 165 Filing a brief in support of an appeal	
1403	290	2403 145 Request for oral hearing	
1451	1,510	1451 1,510 Petition to institute a public use proceeding	
1452	110	2452 55 Petition to revive - unavoidable	
1453	1,330	2453 665 Petition to revive - unintentional	
1501	1,330	2501 665 Utility issue fee (or reissue)	
1502	480	2502 240 Design issue fee	
1503	640	2503 320 Plant issue fee	
1460	130	1460 130 Petitions to the Commissioner	
1807	50	1807 50 Processing fee under 37 CFR 1.17(q)	
1806	180	1806 180 Submission of Information Disclosure Stmt	180.00
8021	40	8021 40 Recording each patent assignment per property (times number of properties)	
1809	770	2809 385 Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810 385 For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801 385 Request for Continued Examination (RCE)	
1802	900	1802 900 Request for expedited examination of a design application	
Other fee (specify) _____			

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** 180.00

(Complete if applicable)

Name (Print/Type)	Donald O. Nickey	Registration No. (Attorney/Agent)	29,092	Telephone	614-757-5542
Signature	<i>Donald O. Nickey</i>			Date	March 10, 2004

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.